



強制性公積金計劃管理局
MANDATORY PROVIDENT FUND SCHEMES AUTHORITY

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By Email

5 January 2018

Circular Letter: SU/CCI/2018/001

To: All Principal Intermediaries

Dear Responsible Officers,

Electronic Submission of Personal Account (PA) Enquiries by Registered Intermediaries (RIs) on behalf of Scheme Members

With reference to our circulars dated 23 September 2015 and 9 September 2016 regarding the enhanced arrangements for handling personal account enquiries made on behalf of scheme members by RIs, the Mandatory Provident Fund Schemes Authority (MPFA) will introduce an additional channel allowing electronic submission of personal account enquiries by principal intermediaries (PIs) to the MPFA with a view to enhancing its operational efficiency.

The new arrangement will be implemented by phases with effect from **8 January 2018** onwards. Participating PIs will be assigned with a new set of login IDs for electronic submission of PA enquiries. After completion of verification and processing of the respective PA enquiries, the MPFA will send the PA reports to PIs through electronic platform. PIs may then further distribute the PA reports to their respective subsidiary intermediaries (SIs) as appropriate.

All data files transmitted between the MPFA and the PIs relating to the above-mentioned processes are encrypted. PIs are reminded to take all practicable steps to ensure that the relevant files and PA reports are accessible by authorized personnel only. PIs should also devise adequate control measures for processing PA enquiries using electronic submission channel.

The revised “Personal Account Information Enquiry Authorization Form (Principal Intermediary) [Form PA-AP(PI)]” (revised Form) and “Points-to-note for Personal Account Enquiries Submitted by Principal Intermediaries (PIs)” can be downloaded from the MPFA's website at www.mpfa.org.hk. Please click "Intermediary" under “Industry Practitioner” and then “Forms” under Useful Tools” to access the documents. Please pass the revised Form to your subsidiary intermediaries and provide necessary assistance to ensure their compliance with the requirements.

Should you have any questions about the contents of this letter, please contact Ms Terry Cheung on 2292 1501.

Yours sincerely,



Susanna Lee
Senior Manager
Intermediaries Registry
Supervision Division

c.c. Mr Kevin Sham, Senior Manager, Banking Conduct Department, Hong Kong Monetary Authority
Ms Stephentica Lee, Associate Director, Licensing, Intermediaries, Securities and Futures Commission
Ms Shirley To, Senior Manager, Market Conduct Division, Insurance Authority