



強制性公積金計劃管理局
MANDATORY PROVIDENT FUND
SCHEMES AUTHORITY

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22 June 2012

Circular Letter: SU/CTO/2012/002

To: All Approved Trustees, MPF Corporate Intermediaries and Recognized Institutions / Professional Bodies Providing Core CPD Activities for MPF Intermediaries

Dear Sir / Madam,

Training on Conduct Requirements for MPF Registered Intermediaries

I refer to our circular letter dated 21 February 2012 (SU/CTO/2012/001) regarding training for MPF intermediaries in 2012.

In March 2012, the Authority conducted “*Enhanced Regulation of MPF Intermediaries*” train-the-trainer (TTT) workshops for all approved trustees, promoters and course providers. This training focused on the key elements contained in the MPF Schemes (Amendment) (No.2) Bill 2011 and the implications for MPF intermediaries.

The Authority now plans to conduct TTT workshops on the new “*Conduct Requirements for MPF Intermediaries*”. As before, all trainers are required to attend this workshop if they wish to deliver training on this subject. Upon completion of the workshops, the training materials will be distributed to all participants who are then expected to conduct training for individual intermediaries. We will post the relevant and recognized core CPD courses on the Authority’s website for the Industry’s information. Corporate intermediaries can then arrange for their individual intermediaries to take these recognized CPD courses.

Please note that all registered individual MPF intermediaries are expected to complete both of these training courses (i.e., *Enhanced Regulation of MPF Intermediaries* and *Conduct Requirements of MPF Intermediaries*) before the new legislative regime comes into effect on 1 November 2012. The Authority will regularly monitor progress on the numbers who have completed this training. Since intermediaries who have not completed this training may not understand the new conduct requirements associated with carrying on regulated activities, corporate intermediaries should ensure that these individual intermediaries do not engage in any MPF sales and marketing activities after until such time as they have done so.

Should you have any questions on the above, please feel free to contact either myself or Peter Lee, Manager (Intermediaries) on 2292 1267.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'R Gill', with a horizontal line underneath the 'i'.

(Robin Gill)
Head (Intermediaries)
Supervision Division