MANDATORY PROVIDENT FUND SCHEMES AUTHORITY
Notification of Change of Information for MPF Individual Intermediary

Notes on Completion of this Form

1. This Form is to be used by individual intermediary for notifying the Mandatory Provident Fund Schemes Authority ("MPFA") of any changes in particulars or information previously supplied in connection with an application for registration.
2. A corporate intermediary submitting a notification of change should complete Form I(CH-C) instead of this Form.
3. In the case of reporting a change in personal information, please either present your Hong Kong identity card/passport to the MPFA in person or attach a copy of your Hong Kong identity card/passport to this Form.
4. In the case of reporting a change in registration capacity, please provide copies of relevant documentary evidence where applicable.
5. A sponsoring form [Form I(S)] should be submitted through the primary sponsoring corporation of the individual intermediary if he intends to act on behalf of a secondary sponsoring corporation.
6. This Form should be submitted to the Supervision Division (Intermediaries Department) of the MPFA at the following address:
   (a) Level 16, International Commerce Centre, 1 Austin Road West, Kowloon, Hong Kong; or
   (b) Level 36, Tower 1, Metroplaza, 223 Hing Fong Road, Kwai Fong, New Territories, Hong Kong.
7. Please note that the change will not be processed unless the intermediary possessed valid registration capacity at the time of submitting this Form.

Notes on Personal Information Collection

These notes are provided in accordance with the Personal Data (Privacy) Ordinance. You are advised to read the following regarding your rights and obligations in relation to personal data held by the MPFA (which data may relate to yourself or other persons) and the manner in which the MPFA may use or deal with such data in connection with this application and other related matters:

1. Failure to supply the requested personal data may result in delay or refusal of your application if it affects the MPFA's ability to assess your compliance with the applicable criteria.
2. The personal data will be used by the MPFA for the purposes of performing its functions and, in particular, the registration, monitoring, inspection, investigation, or enforcement action in relation to MPF schemes and service providers including MPF intermediaries. The personal data will also be used to compile a public register which contains the name of the MPF intermediary, the corporation(s) which he represents, his MPF registration number and whether he is permitted to advise on securities and/or insurance policies, or permitted to sell MPF schemes without rendering specific investment advice.
3. The MPFA may, as permitted by law, match, compare, transfer or exchange personal data with data held by, or obtained from (including but not limited to) the following bodies:
   (a) Hong Kong Monetary Authority;
   (b) Insurance Authority and self-regulatory organizations of the insurance industry (including the Insurance Agents Registration Board under The Hong Kong Federation of Insurers, The Hong Kong Confederation of Insurance Brokers, and the Professional Insurance Brokers Association);
   (c) Securities and Futures Commission;
   (d) Hong Kong Securities Institute;

(e) Vocational Training Council; and

(f) Official Receiver's Office.

4. You are entitled under the Personal Data (Privacy) Ordinance to request access to or to request the correction of any personal data relating to you held by the MPFA, in the manner and subject to the limitations prescribed therein. All enquiries should be directed to the Personal Data Privacy Officer of the MPFA at Level 16, International Commerce Centre, 1 Austin Road West, Kowloon, Hong Kong.

Explanatory Notes

Section III must be completed when there is a change in the following registration capacities with the relevant regulators or self-regulatory organizations:

Insurance Authority Regime

(a) Officer/Employee of Authorized Long Term Insurer

(b) Appointed Long Term Insurance Agent (including an agent of an appointed long term insurance agent)

(c) Registered Responsible Officer/Technical Representative of Appointed Long Term Insurance Agent

(d) Registered Chief Executive/Technical Representative of Member (eligible to engage in long term business) of The Hong Kong Confederation of Insurance Brokers

(e) Registered Chief Executive/Technical Representative of Member (eligible to engage in long term business) of Professional Insurance Brokers Association

(f) Registered Chief Executive/Technical Representative of Insurance Broker (eligible to engage in long term business) Directly Authorized by Insurance Authority

Monetary Authority Regime

(a) Officer/Employee of Bank

(b) Officer/Employee of Restricted Licence Bank

(c) Officer/Employee of Deposit Taking Company

(d) Name entered in Hong Kong Monetary Authority Register: engaging in Type 1 (dealing in securities) regulated activity

(e) Name entered in Hong Kong Monetary Authority Register: engaging in Type 4 (advising on securities) regulated activity

Securities and Futures Commission Regime

(a) Licensed Representative engaging in Type 1 (dealing in securities) regulated activity

(b) Licensed Representative engaging in Type 4 (advising on securities) regulated activity

MANDATORY PROVIDENT FUND SCHEMES AUTHORITY

Notification of Change of Information for MPF Individual Intermediary

Name of MPF Individual Intermediary : _____

MPF Registration No.: _____

(Please complete items with changes only.)

Section I – CHANGE OF PERSONAL INFORMATION	
Personal Information Previously Supplied to MPFA	
Name in English	
Name in Chinese *(if any)*	
New Personal Information	
Name in English *(same as HKID Card / Passport)*	
Name in Chinese *(if any)*	
Effective Date of Change	

Section II – CESSATION OF SPONSORSHIP			
Name of Former Sponsoring Corporation			
Effective Date of Cessation			
Reason for Cessation *(please tick whichever is appropriate)*	☐ voluntary resignation ☐ redundancy	☐ forced resignation ☐ dismissal (please specify reason):	☐ expiry of contract ☐ other, please specify:
	_____		

For Official Use Only			
Receipt Date		Date of Updating	
Input Officer		Approving Officer	

Section III – CHANGE OF REGISTRATION CAPACITY[1]	
Former Registration Capacity	
Former Registration Capacity	
New Registration Capacity	
Registration Capacity after Change	
Effective Date of Change	

Section IV – CHANGE OF OTHER INFORMATION PREVIOUSLY SUPPLIED TO MPFA

Section V – OTHER SIGNIFICANT EVENTS TO BE REPORTED

Section VI – DECLARATION	
I certify that I have read the *Notes on Personal Information Collection* and understand my rights and obligations in relation to personal data held by the MPFA and the manner in which the MPFA may use or deal with the data. I declare that to the best of my knowledge and belief the information given in this Form is correct and complete.	
Signature	
Name of MPF intermediary *(same as HKID Card/Passport)*	
Date of Signature	

[1] Please see *Explanatory Notes.*