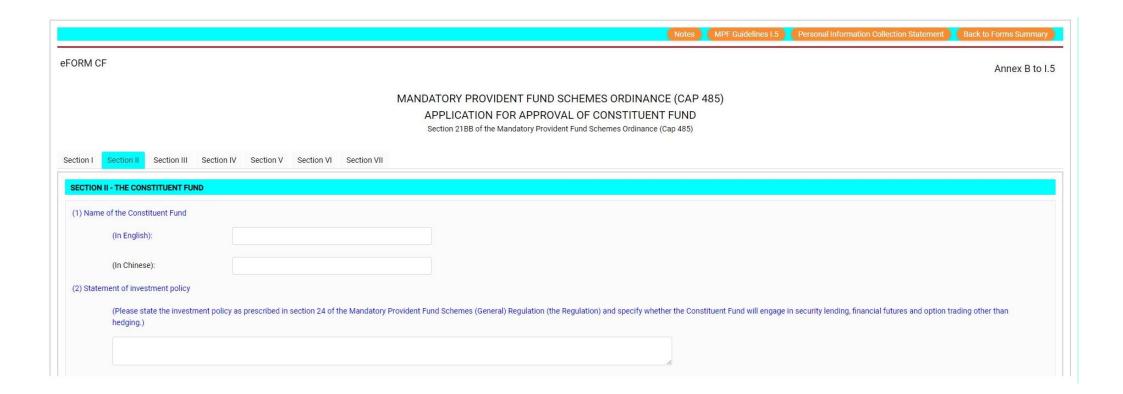
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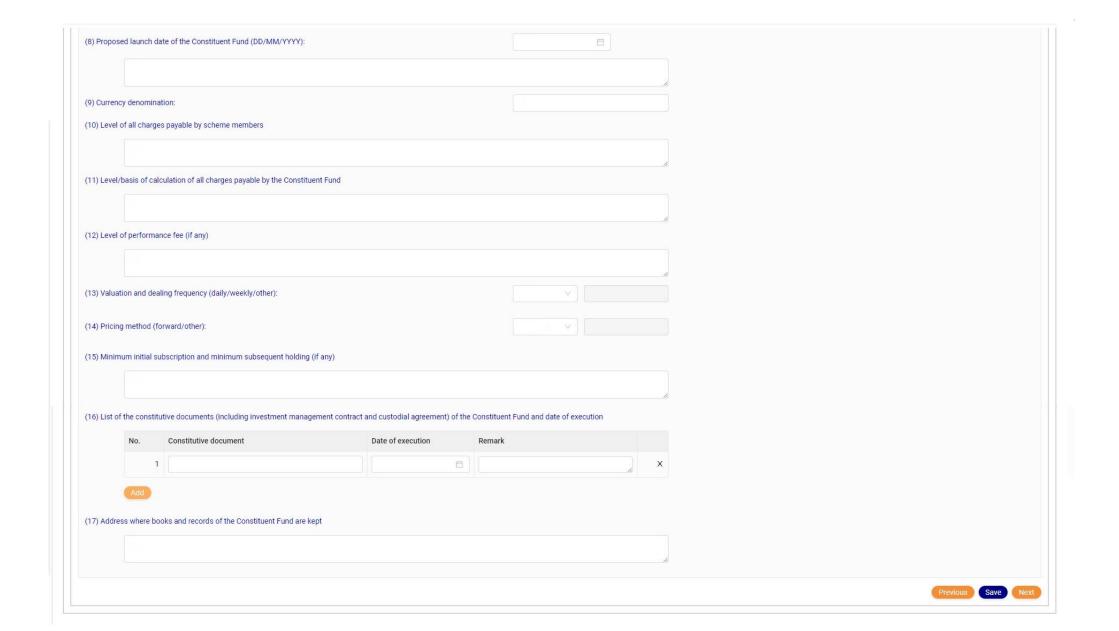
- The applicant making an application for approval of a constituent fund (the Constituent Fund) under section 21BB of the Mandatory
 Provident Fund Schemes Ordinance (the Ordinance) should refer to the Guidelines on Application for Approval of Constituent
 Funds.
- 2. Please read the Personal Information Collection Statement before completing this application form.
- It is obligatory for you to supply all the information, including personal data, requested in this application form. If any item is not
 applicable, please write "N.A.". Failure to provide the requested information, including personal data, may result in rejection or
 refusal of your application.
- Please provide any other information which may assist the Mandatory Provident Fund Schemes Authority (the Authority) in reaching a decision on the application if necessary.

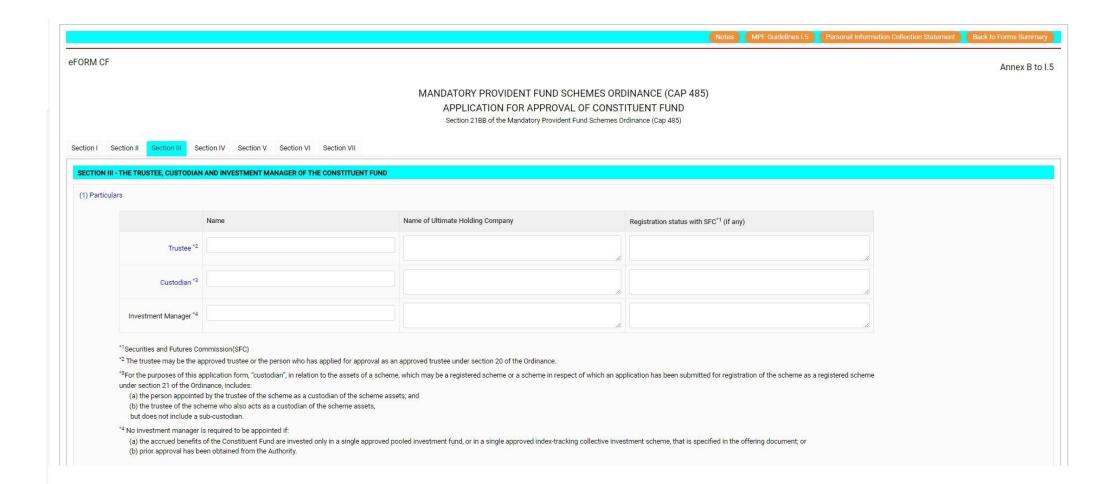


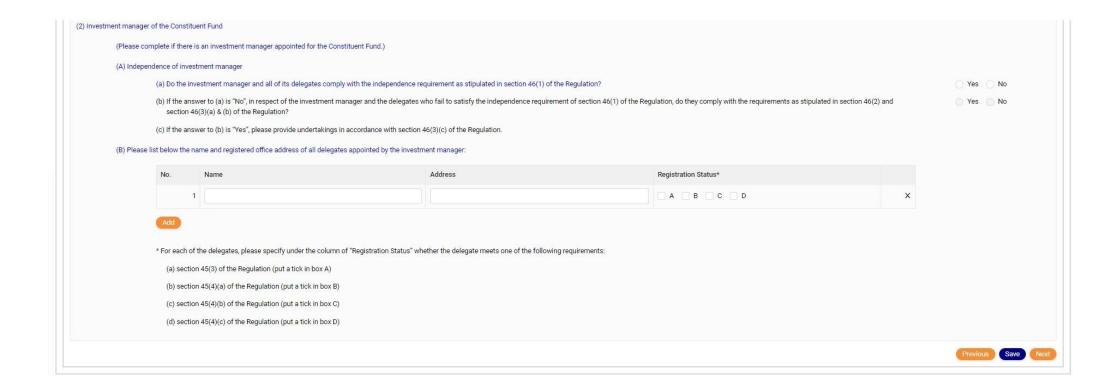


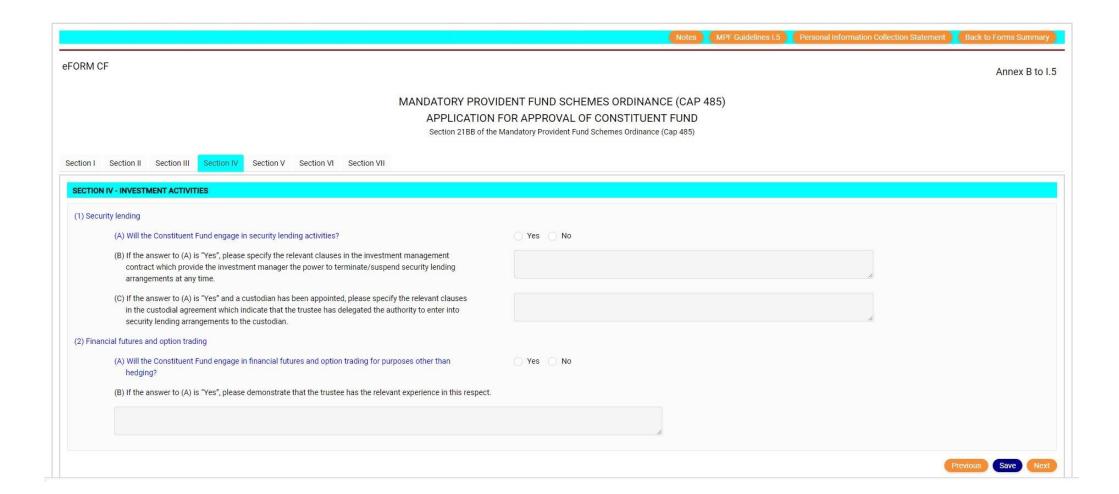


(3) Structure of the Constituent Fund			
(A) Internal portfolio			
(B) Feeder fund			
Name of the underlying pooled investment fund			
(C) Portfolio management fund			
Name of the underlying pooled investment funds (4) Portfolio of underlying investments (Please provide a brief description of the proposed asset allocation, in percentage terms, by type and by country/ region.)			
(5) Fund type (e.g. bond, equity, money market, other):	· ·		
(6) Specialized fund (complete only if applicable)			
(A) Capital preservation fund			
Is the Constituent Fund a capital preservation fund?	○ Yes ○ No		
(B) Guaranteed fund			
(a) Is the Constituent Fund a guaranteed fund?	○ Yes ○ No		
(b) If the answer to (a) is "Yes", please state:			
(i) The name of the guarantor			
(ii) The guarantee features			
(7) Is the Constituent Fund unitized?	○ Yes ○ No		

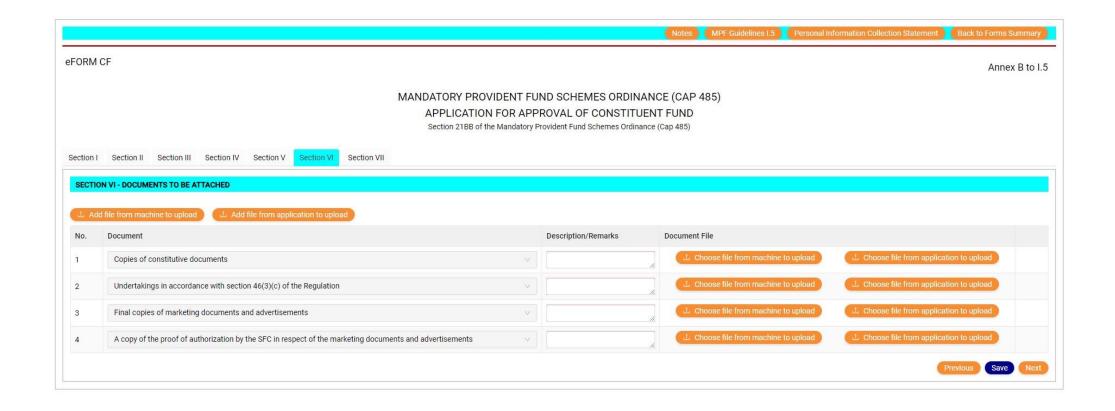


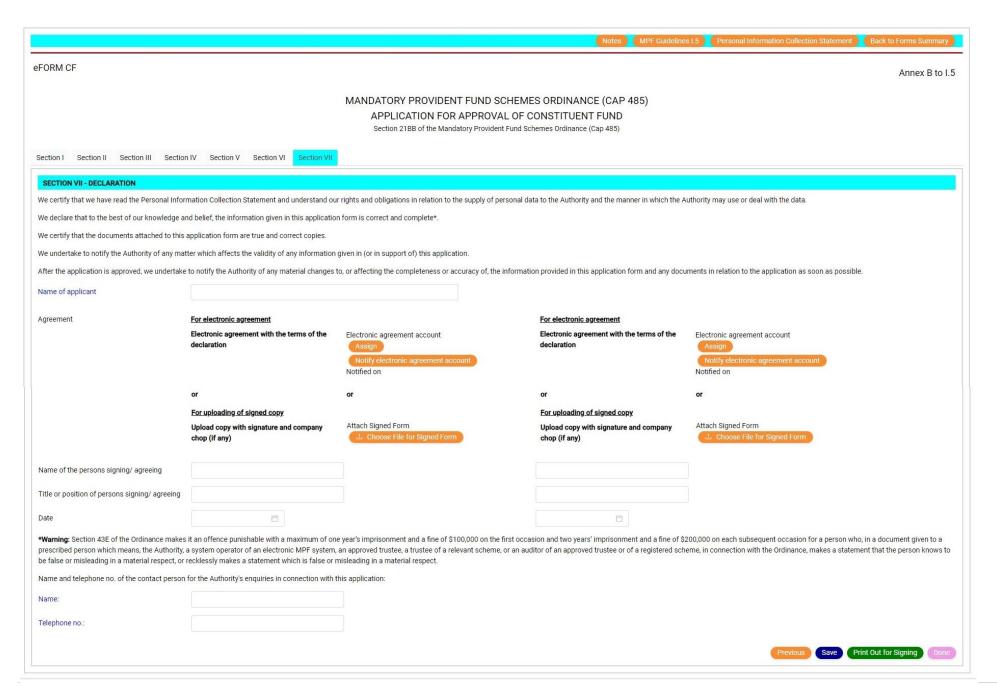




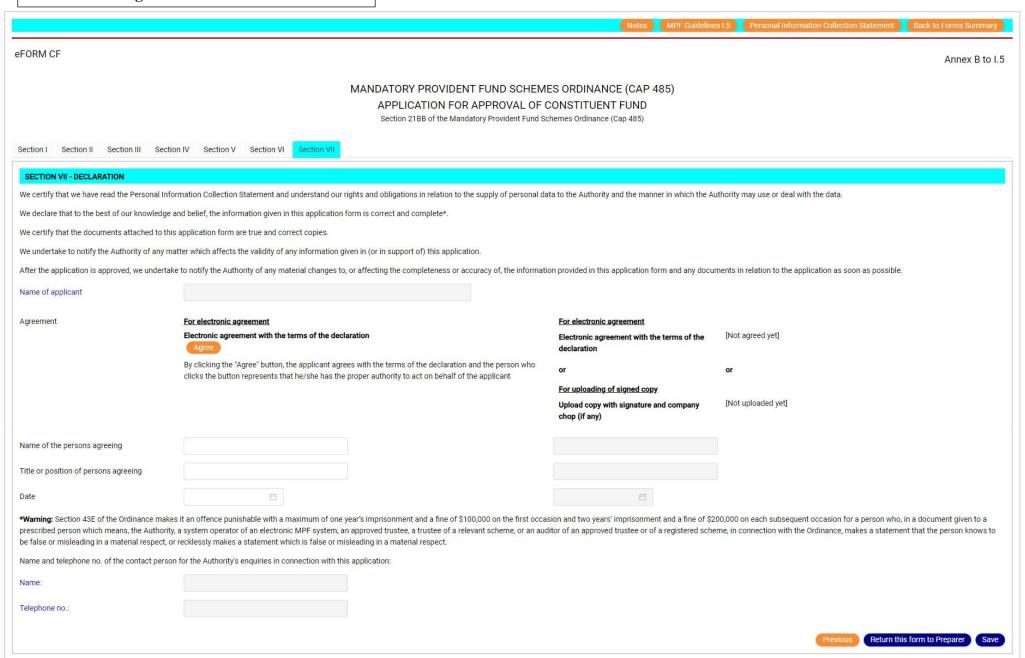








For electronic agreement to declaration



MANDATORY PROVIDENT FUND SCHEMES AUTHORITY

PERSONAL INFORMATION COLLECTION STATEMENT (PICS)

This PICS is made by the Mandatory Provident Fund Schemes Authority (the Authority) in accordance with the Personal Data (Privacy) Ordinance (Cap 486) (PDPO). You are advised to read this PICS carefully as it sets out your rights and obligations in relation to your personal data (as defined in the PDPO) and the manner in which the Authority may collect, use or deal with your personal data for the purposes specified below.

Purpose of Collection and Use

- 1. The personal data provided in (or in support of) this application under section 21BB of the Mandatory Provident Fund Schemes Ordinance (Cap 485) (the Ordinance) will be used and held by the Authority for one or more of the following purposes:
 - (i) exercising and performing the Authority's functions under the Ordinance, including but not limited to:
 - (a) exercising and performing functions related to section 21BB of the Ordinance of approving an application for approval of a constituent fund of a registered scheme; and
 - (b) ensuring compliance with the Ordinance;
 - (ii) enabling or assisting the Authority and other regulatory bodies/law enforcement agencies/government departments to perform functions under the Ordinance or their respective regimes including without limitation monitoring, surveillance, inspection, investigation, taking supervisory and/or enforcement actions, overseeing the operation of an electronic MPF system¹, and/or conducting any legal, disciplinary or appeal proceedings, provided that such enablement or assistance by the Authority is subject to the Ordinance;
 - (iii) research and statistical purposes; and
 - (iv) other purposes as permitted or required by law.
- 2. It is obligatory for you to supply your personal data as requested in this application and in processing your application. Failure to supply the requested personal data may result in rejection or refusal of your application by the Authority, and, in some circumstances, hindering the Authority from processing the application in accordance with section 21BB of the Ordinance.

Transfer of Personal Data

3. The Authority may disclose or transfer your personal data held by the Authority to third parties including the bodies listed below for one or more of the purposes mentioned in paragraph 1 above or any directly related purposes, or in accordance with an order of a court or in accordance with a law or a requirement made under a law:

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¹ Electronic MPF system means an electronic system designated under section 19I(1) of the Ordinance.

- (i) an approved trustee(s);
- (ii) the system operator of an electronic MPF system;
- (iii) the eMPF Platform Company Limited;
- (iv) the Chief Executive;
- (v) the Financial Secretary;
- (vi) the Secretary for Justice;
- (vii) the Registrar of Occupational Retirement Schemes;
- (viii) the Insurance Authority;
- (ix) the Hong Kong Monetary Authority;
- (x) the Securities and Futures Commission;
- (xi) the Commissioner of Inland Revenue;
- (xii) the Privacy Commissioner for Personal Data;
- (xiii) the Ombudsman;
- (xiv) the Registrar of Companies;
- (xv) the Accounting and Financial Reporting Council established under the Accounting and Financial Reporting Council Ordinance (Cap 588);
- (xvi) the Official Receiver appointed under the Bankruptcy Ordinance (Cap 6);
- (xvii) a liquidator appointed under the pre-amended Ordinance (as defined in the Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap 32)) or the Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap 32);
- (xviii) the Hong Kong Police Force;
- (xix) any relevant courts, panels, tribunals and committees; and
- (xx) other law enforcement agencies, government departments or regulatory bodies.

Access to Personal Data

4. You are entitled under the PDPO to ascertain whether the Authority holds any of your personal data, and to request access to and/or correction of them, in the manner and subject to the limitations as set out in the PDPO. The Authority has the right to charge a fee as permitted under the PDPO for processing any data access request, which fee shall not be excessive. All enquiries should be directed to:

Personal Data Privacy Officer Mandatory Provident Fund Schemes Authority Level 12, Tower 1, The Millennity 98 How Ming Street, Kwun Tong Hong Kong

5. The Authority's Privacy Policy Statement is available on the Authority's website at https://www.mpfa.org.hk/en/privacy-policy.