

FORM PF(T)

**MANDATORY PROVIDENT FUND SCHEMES ORDINANCE (CAP 485)
(the Ordinance)**

APPLICATION FOR APPROVAL OF POOLED INVESTMENT FUND

**(PART B)
(INFORMATION RELATING TO THE TRUSTEE
OF THE POOLED INVESTMENT FUND)**

Section 6 of the Mandatory Provident Fund Schemes (General) Regulation (Cap 485A)

NOTES:

- (1) *This form must be completed by the trustee (approved trustee or the person who has applied for approval as an approved trustee under section 20 of the Ordinance) of the Pooled Investment Fund.*
 - (2) *All questions must be answered. If any question is not applicable, please write "N.A."*
 - (3) *If boxes are provided, please tick whichever is appropriate.*
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FOR OFFICIAL USE ONLY

Application no.: _____ **Date application received:** _____

Subject officer: _____ **Input officer:** _____

SECTION I - THE POOLED INVESTMENT FUND (PIF)

(1) Name of the PIF: _____

SECTION II - THE TRUSTEE

(1) Name of the trustee
(in English): _____

(in Chinese, if any) _____

(2) Is the trustee an approved trustee? Yes No

(3) If the answer to (2) is “Yes”, please state the trustee approval no.: _____

(4) If the answer to (2) is “No”, has an application for approval as an approved trustee been submitted to the Mandatory Provident Fund Schemes Authority (the Authority)? Yes No

(5) If the answer to (4) is “Yes”, please state the application no. and/or date of submission: _____

SECTION III - THE CUSTODIAN**

(1) Will the trustee act as a custodian of the PIF? Yes No

(2) If the answer to (1) is “Yes”, please submit an undertaking to the Authority by deed, or by a document of like effect acceptable to the Authority in accordance with section 69 of the Mandatory Provident Fund Schemes (General) Regulation (the Regulation).

** For the purposes of this Form, “custodian”, in relation to the assets of the PIF, includes:

- (a) the person appointed as a custodian of the PIF assets; and
- (b) the approved trustee of the PIF who also acts as a custodian of the PIF assets, but does not include a sub-custodian.

SECTION IV - DOCUMENT TO BE ATTACHED

	Document	Attachment No.
(1)	An undertaking to the Authority by deed, or by a document of like effect acceptable to the Authority by the trustee to act as a custodian of the PIF in accordance with section 69 of the Regulation	

SECTION V - DECLARATION

We declare that to the best of our knowledge and belief, the information given in this Form is correct and complete. ✦

We certify that the document attached to this Form is a true and correct copy.

We undertake to notify the Authority of any matter which affects the validity of any information given in support of this application.

After the application is approved, we undertake to notify the Authority of any material changes to, or affecting the completeness or accuracy of, the information provided in this Form and any documents in relation to the application as soon as possible.

Name of trustee: _____

Signature and company chop
(to be signed by two directors):

Name of persons signing:

Title or position of persons signing:

Date:

✦ **Warning:** Section 43E of the Ordinance makes it an offence punishable with a maximum of one year's imprisonment and a fine of \$100,000 on the first occasion and two years' imprisonment and a fine of \$200,000 on each subsequent occasion for a person who makes a statement that the person knows to be false or misleading in a material respect, or recklessly makes a statement which is false or misleading in a material respect.

Name and telephone no. of the contact person for the Authority's enquiries in connection with this application:

Name: _____

Telephone no.: _____