

## **MANDATORY PROVIDENT FUND SCHEMES AUTHORITY**

### **II.10 Guidelines on Quarterly Statistical Returns of the Default Investment Strategy of Registered Schemes**

#### **INTRODUCTION**

Section 6H(1) of the Mandatory Provident Fund Schemes Ordinance (the Ordinance) provides that the Mandatory Provident Fund Schemes Authority (the Authority) may issue guidelines for the guidance of approved trustees, service providers, participating employers and their employees, self-employed persons, regulated persons and other persons concerned with the Ordinance.

2. Section 6H(3) of the Ordinance provides that a guideline may require persons (including persons belonging to a class) specified in the guideline to give to the Authority information or documents of a kind specified in the guideline. The guideline may only specify information or documents of a kind that the Authority reasonably requires for the exercise or performance of its functions.

3. The Authority hereby issues guidelines to specify the information required to be submitted in respect of quarterly statistical returns of the default investment strategy of registered schemes for statistical purpose. These guidelines also specify the means by which the returns should be submitted to the Authority.

## **EFFECTIVE DATE**

4. These revised Guidelines (Version 3 – April 2023) shall become effective on 24 April 2023. The previous version of these Guidelines (Version 2 – March 2019) shall be superseded on that day.

## **QUARTERLY STATISTICAL RETURN OF THE DEFAULT INVESTMENT STRATEGY**

### **Contents of the Quarterly Statistical Return of the Default Investment Strategy**

5. The contents of the quarterly statistical return of the default investment strategy of a registered scheme are set out in the Annex (FORM DIS(QR)). Unless otherwise specified in the Annex, the information to be provided should be in respect of the end of each calendar quarter.

### **Submission of the Quarterly Statistical Return of the Default Investment Strategy**

6. The approved trustee of a registered scheme is required to submit the quarterly statistical return of the default investment strategy within six weeks after the end of each calendar quarter by electronic means or in hard copies to:

Mandatory Provident Fund Schemes Authority  
Level 12, Tower 1, The Millennity  
98 How Ming Street, Kwun Tong  
Hong Kong

## **DEFINITION OF TERMS**

7. Where a term used in the Guidelines is defined in the Ordinance or the subsidiary legislation then, except where specified in the Guidelines, that term carries the meaning as defined in the Ordinance or the subsidiary legislation.

## **WARNING**

8. It is an offence under section 43E of the Ordinance if a person, in any document given to a prescribed person<sup>1</sup> in connection with the Ordinance, makes a statement that the person knows to be false or misleading in a material respect, or recklessly makes a statement which is false or misleading in a material respect.

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<sup>1</sup> Prescribed person means (a) the Authority; (b) a system operator of an electronic MPF system; (c) an approved trustee; (d) a trustee of a relevant scheme; or (e) an auditor of an approved trustee or of a registered scheme.