

MANDATORY PROVIDENT FUND SCHEMES AUTHORITY
Notification of Principal Intermediary's Withdrawal of Consent to a Subsidiary Intermediary
(eForm to be Completed by Principal Intermediary)
 Section 34ZG(2) of the Mandatory Provident Fund Schemes Ordinance (Cap 485)

eForm INT-5B
Attachment Cessation

Notes on Completion of this eForm

Personal Information Collection Statement

For a notification of withdrawal of consent given under this eForm, the notification date is, in general, the date this eForm is made available to the MPFA by means of an electronic system.

Name of principal intermediary:

MPF registration no.:

[Click here to download the Excel template for import](#)

Import

Section I
[SI (Individual)]

Section II
[SI (Licensed Long Term
Insurance Agency)]

Section III
[Declaration by PI]

Section I - WITHDRAWAL OF THE CONSENT TO THE INDIVIDUAL BEING AN INTERMEDIARY FOR CARRYING ON REGULATED ACTIVITIES FOR THE PRINCIPAL INTERMEDIARY

	Del.	eForm No.	Name (Same as HKID card)		MPF Registration No.	Effective Date for Withdrawal of the Consent to the Individual Being an Intermediary for Carrying on Regulated Activities for the Principal Intermediary (The Effective Date must not be earlier than the date on which this notice is given to the Mandatory Provident Fund Schemes Authority (MPFA) (Notification Date). If the Effective Date stated is earlier than the Notification Date to the MPFA, then the Notification Date will be treated as the Effective Date.)	Responsible Officer (Please tick the box if the subsidiary intermediary is the responsible officer of your corporation.)
			Surname	Other Names			
1	<input type="checkbox"/>						<input type="checkbox"/>
2	<input type="checkbox"/>						<input type="checkbox"/>
3	<input type="checkbox"/>						<input type="checkbox"/>
4	<input type="checkbox"/>						<input type="checkbox"/>
5	<input type="checkbox"/>						<input type="checkbox"/>
6	<input type="checkbox"/>						<input type="checkbox"/>
7	<input type="checkbox"/>						<input type="checkbox"/>
8	<input type="checkbox"/>						<input type="checkbox"/>
9	<input type="checkbox"/>						<input type="checkbox"/>
10	<input type="checkbox"/>						<input type="checkbox"/>

11	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
12	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
13	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
14	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
15	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
16	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
17	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
18	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
19	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
20	<input type="checkbox"/>				<input type="text"/>		<input type="text"/>			<input type="checkbox"/>
Total number of subsidiary intermediaries in this section										

Date of notification submitted to the MPFA

Save

Back

MANDATORY PROVIDENT FUND SCHEMES AUTHORITY
Notification of Principal Intermediary's Withdrawal of Consent to a Subsidiary Intermediary
(eForm to be Completed by Principal Intermediary)
Section 34ZG(2) of the Mandatory Provident Fund Schemes Ordinance (Cap 485)

eForm INT-5B
Attachment Cessation

Notes on Completion of this eForm Personal Information Collection Statement

For a notification of withdrawal of consent given under this eForm, the notification date is, in general, the date this eForm is made available to the MPFA by means of an electronic system.

Name of principal intermediary:

MPF registration no.:

Section I
[SI (Individual)]

Section II
[SI (Licensed Long Term Insurance Agency)]

Section III
[Declaration by PI]

[Click here to download the Excel template for import](#)

Import

Section II - WITHDRAWAL OF THE CONSENT TO THE LICENSED LONG TERM INSURANCE AGENCY BEING AN INTERMEDIARY FOR CARRYING ON REGULATED ACTIVITIES FOR THE PRINCIPAL INTERMEDIARY

	Del.	eForm No.	Name	MPF Registration No.	Effective Date for Withdrawal of the Consent to the Licensed Long Term Insurance Agency Being an Intermediary for Carrying on Regulated Activities for the Principal Intermediary (The Effective Date must not be earlier than the date on which this notice is given to the MPFA (Notification Date). If the Effective Date stated is earlier than the Notification Date to the MPFA, then the Notification Date will be treated as the Effective Date.)	
1	<input type="checkbox"/>					
2	<input type="checkbox"/>					
3	<input type="checkbox"/>					
4	<input type="checkbox"/>					
5	<input type="checkbox"/>					
6	<input type="checkbox"/>					
7	<input type="checkbox"/>					
8	<input type="checkbox"/>					
9	<input type="checkbox"/>					
10	<input type="checkbox"/>					

11	<input type="checkbox"/>						
12	<input type="checkbox"/>						
13	<input type="checkbox"/>						
14	<input type="checkbox"/>						
15	<input type="checkbox"/>						
16	<input type="checkbox"/>						
17	<input type="checkbox"/>						
18	<input type="checkbox"/>						
19	<input type="checkbox"/>						
20	<input type="checkbox"/>						
Total number of subsidiary intermediaries in this section							

Date of notification submitted to the MPFA		<div>Save</div> <div>Back</div>
--	--	---------------------------------

MANDATORY PROVIDENT FUND SCHEMES AUTHORITY
Notification of Principal Intermediary's Withdrawal of Consent to a Subsidiary Intermediary
(eForm to be Completed by Principal Intermediary)
Section 34ZG(2) of the Mandatory Provident Fund Schemes Ordinance (Cap 485)

eForm INT-5B
Attachment Cessation

[Notes on Completion of this eForm](#)[Personal Information Collection Statement](#)

Name of principal intermediary:

MPF registration no.:

Section I

[SI (Individual)]

Section II

[SI (Licensed Long Term
Insurance Agency)]

Section III

[Declaration by PI]

[Click here to download the Excel template for import](#)[Import](#)**Section III - DECLARATION BY PRINCIPAL INTERMEDIARY**

1. We, , hereby give notice of our withdrawal of consent to the subsidiary intermediaries listed in Section I/II being intermediaries carrying on regulated activities for our corporation.
 2. We **certify** that we have read the **Personal Information Collection Statement (PICS)** and **understand** our rights and obligations in relation to the personal data provided by us to the MPFA and consent to the manner in which the personal data may be used or dealt with as specified in the PICS.
 3. We **declare** that to the best of our knowledge and belief, the information given in this eForm (including attachments) is correct and complete. ↕
 4. We **agree** to immediately notify the MPFA of any matter which has come to our attention and which may affect the validity of any information given in this eForm.
- ☐ We **have read and understood** each of the above statements in this Section III.

Name of person authorized by the principal intermediary
for completing and submitting this notification
(Same as HKID card)

Responsible officer ☐ Yes ☐ No
☐ Sole-proprietor / Partner / Director in the situation where
one or more responsible officer(s) cease(s) to be attached
to the principal intermediary

Position of this authorized person

⚡Warning: Section 43E of the Mandatory Provident Fund Schemes Ordinance (MPFSO) makes it an offence punishable with a maximum of one year's imprisonment and a fine of \$100,000 on the first occasion and two years' imprisonment and a fine of \$200,000 on each subsequent occasion for a person who, in a document given to a prescribed person which means, the MPFA, a system operator of an electronic MPF system, an approved trustee, a trustee of a relevant scheme, or an auditor of an approved trustee or of a registered scheme, in connection with the MPFSO, makes a statement that the person knows to be false or misleading in a material respect, or recklessly makes a statement which is false or misleading in a material respect.

Date of notification submitted to the MPFA

 Save

 Back

Notes on Completion of this eForm

1. This eForm is to be completed by a principal intermediary to notify the Mandatory Provident Fund Schemes Authority (MPFA) of withdrawal of its consent to a subsidiary intermediary for carrying on regulated activities for the principal intermediary.
2. Please read the *Handbook on MPF Intermediary Registration* (available at the MPFA website - www.mpfa.org.hk) and the *Personal Information Collection Statement* before completing this eForm.
3. It is obligatory for you to supply all the information, including personal data, requested in this eForm, which is applicable to you. Failure to provide the requested information, including personal data, may result in refusal of your notification or request of the use of an electronic system.
4. Please note that the MPFA may require further information and/or documentary evidence in support of the withdrawal of consent to a subsidiary intermediary when necessary.
5. Please also note that some of the information provided in the notification may appear in a public register of intermediaries for regulated activities.
6. No fee is currently charged for notification of a principal intermediary's withdrawal of consent to a subsidiary intermediary.

Mandatory Provident Fund Schemes Authority

Personal Information Collection Statement (PICS)

This PICS is made by the Mandatory Provident Fund Schemes Authority (MPFA) in accordance with the Personal Data (Privacy) Ordinance (Cap 486) (PDPO). You are advised to read this PICS carefully as it sets out your rights and obligations in relation to your personal data (as defined in the PDPO) and the manner in which the MPFA may collect, use or deal with the personal data for the purposes specified below.

Purpose of Collection and Use

1. The personal data provided in (or in support of) this Notification of Principal Intermediary's Withdrawal of Consent to a Subsidiary Intermediary will be used and held by the MPFA for one or more of the following purposes:
 - (a) exercising and performing the MPFA's functions under the Mandatory Provident Fund Schemes Ordinance (Cap 485) (MPFSO) including but not limited to ensuring compliance with the MPFSO, regulating sales and marketing activities and the giving of advice in relation to registered schemes, registration of MPF intermediaries, granting approval of responsible officers and related matters, inspection, investigation, and taking disciplinary or enforcement action;
 - (b) processing any notification made by you under the MPFSO;
 - (c) establishing or operating an electronic system(s) for use for the purposes of the MPFSO and providing related services to its users;
 - (d) considering any notification made under the MPFSO where you are named as the principal intermediary or subsidiary intermediary or responsible officer (as the case may be);
 - (e) enabling or assisting other regulators to perform their functions under the MPFSO or their respective regimes including, without limitation, monitoring, surveillance, inspection or investigation, provided that such enablement or assistance by the MPFA is subject to the MPFSO;
 - (f) establishing and keeping a public register of MPF intermediaries for regulated activities;
 - (g) research and statistical purposes; and
 - (h) other purposes as permitted or required by law.

2. It is obligatory for you to supply your personal data as requested in this notification and in processing your notification. Failure to supply the requested personal data may result in refusal of your notification or request of the use of the electronic system(s), and, in some circumstances, hindering the MPFA and/or other regulators from performing their functions.

Transfer / Matching of Personal Data

3. The MPFA may disclose or transfer your personal data held by the MPFA to third parties including the bodies listed below for one or more of the purposes mentioned in paragraph 1 above or any directly related purposes, or in accordance with an order of a court or in accordance with a law or a requirement made under a law, or pursuant to any regulatory or investigatory assistance arrangements between the MPFA and other regulators or law enforcement agents:
- (a) the Hong Kong Monetary Authority;
 - (b) the Insurance Authority;
 - (c) the Securities and Futures Commission;
 - (d) the eMPF Platform Company Limited;
 - (e) the system operator of an electronic MPF system¹;
 - (f) examination bodies for conducting qualifying examinations;
 - (g) principal intermediary/intermediaries to which you as a subsidiary intermediary were/are/will be attached;
 - (h) the Chief Executive;
 - (i) the Financial Secretary;
 - (j) the Secretary for Justice;
 - (k) the Commissioner of Inland Revenue;
 - (l) the Privacy Commissioner for Personal Data;
 - (m) the Ombudsman;

¹ Electronic MPF system means an electronic system designated under section 19I(1) of the MPFSO.

- (n) the Registrar of Companies;
 - (o) the Official Receiver appointed under the Bankruptcy Ordinance (Cap 6);
 - (p) a liquidator appointed under the pre-amended Ordinance (as defined in the Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap 32)) or the Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap 32);
 - (q) the Registrar of Occupational Retirement Schemes;
 - (r) the Accounting and Financial Reporting Council established under the Accounting and Financial Reporting Council Ordinance (Cap 588);
 - (s) the Hong Kong Police Force;
 - (t) any relevant courts, panels, tribunals and committees; and
 - (u) other law enforcement agencies, government departments or regulatory bodies.
4. Personal data may be used by the MPFA or disclosed or transferred by the MPFA to the regulators listed in paragraph 3(a), (b) and (c) for the purposes of comparing or verifying those data with other data or carrying out matching procedure (as defined in the PDPO) on those data.

Public Register

5. The MPFA is required to establish and keep a register of MPF intermediaries for regulated activities containing specified data (including personal data) pursuant to the relevant provisions of the MPFSO or any rules or regulations made thereunder. The MPFA is required by law to make the register available to the public through the Internet. For the purpose of enabling a member of the public to ascertain (a) whether he/she is dealing with a regulated person (including an MPF intermediary) in matters of or connected with any regulated activity or (b) the particulars of the registration of a person as a principal or subsidiary intermediary or of the approval of an individual as a responsible officer of a principal intermediary, a member of the public may inspect the register or may inspect a reproduction of any information recorded in the register in a legible form (as the case may be), free of charge. A member of the public may also on payment of a prescribed fee obtain a copy or a certified true copy of an entry in or extract of the register.

Access to Personal Data

6. You are entitled under the PDPO to ascertain whether the MPFA holds any of your personal data, and to request access to and/or correction of them, in the manner and subject to the limitations as set out in the PDPO. The MPFA has the right to charge a fee as permitted under the PDPO for processing any data access request, which fee shall not be excessive. All enquiries should be directed to:

Personal Data Privacy Officer
Mandatory Provident Fund Schemes Authority
Level 12, Tower 1, The Millennity
98 How Ming Street, Kwun Tong
Hong Kong

7. The MPFA's Privacy Policy Statement is available on the MPFA's website at <https://www.mpfa.org.hk/en/privacy-policy>.