

Appendix 1

Membership lists of committees, appeal boards and process review panel (31.3.2018)

Administration Committee

Advises the Management Board on the development of human resources policies and procedures as well as policies relating to general administration.

Chairman

Mr Chan Kam-lam, GBS, JP

Members

Dr David Wong Yau-kar, GBS, JP
Hon Poon Siu-ping, BBS, MH
Mrs Diana Chan Tong Chee-ching, JP
Mr Cheng Yan-chee

Audit Committee

- Advises the Management Board on the appointment of external auditor;
- Oversees the implementation of the auditor's recommendations;
- Reviews annual financial statements before submission to the Management Board and, as and when necessary, initiates special financial audits;
- Reviews management's reports on internal control systems and internal audit programmes; and
- Considers major findings of internal investigations and management's responses.

Chairman

Mrs Ayesha Macpherson Lau, JP

Members

Mr Bankee Kwan Pak-hoo, JP
Mr Kingsley Wong Kwok, JP

Finance Committee

- Advises the Management Board on the development of financial strategies and policies;
- Examines and reviews the annual budget of MPFA; and
- Oversees the financial position and investment of the funds of MPFA and the Compensation Fund.

Chairman

Mr Simon Wong Kit-lung, JP

Members

Dr David Wong Yau-kar, GBS, JP
Mr Chan Kam-lam, GBS, JP
Mrs Diana Chan Tong Chee-ching, JP
Mr Cheng Yan-chee

Guidelines Committee

- Scrutinizes draft MPF Guidelines developed to give details on issues that are not spelt out in the MPF legislation for the guidance of MPF service providers; and
- Reviews and updates existing guidelines.

Chairman

Mr Horace Wong Yuk-lun, SC, JP

Members

Ms Gabriella Yee Gar-bo
Mr Guy Mills
Mrs Sally Wong Chi-ming
Ms Cynthia Chung Wing-suet
Mr Bonn Liu Yun-bonn
Ms Lau Ka-shi, BBS
Mr David Adams

Appendix 1

Membership lists of committees, appeal boards and process review panel (31.3.2018)

Remuneration Committee

Considers all remuneration matters in respect of Managing Director and other Executive Directors of MPFA or any other matters related to them referred to it by the Management Board, and reviews the structure and level of remuneration for directorate staff and make recommendations to the Chief Executive of HKSAR or a delegatee of the Chief Executive of HKSAR.

Chairman

Dr David Wong Yau-kar, GBS, JP

Members

Mr Chan Kam-lam, GBS, JP
Mrs Ayesha Macpherson Lau, JP
Mr Simon Wong Kit-lung, JP

Tender Board

- Considers the assessment of tender submissions conducted by assessment panels comprising MPFA staff;
- Recommends the award of contract to a selected tender or the rejection of tender submissions; and
- Reports to and advises the Managing Director or the Management Board on matters regarding tender submissions.

Chairman

Hon Abraham Shek Lai-him, GBS, JP

Members

Mr Bankee Kwan Pak-hoo, JP
Mr Cheng Yan-chee
An Executive Director/a Head responsible for the subject under consideration

Working Group on MPF Reform Issues

Considers MPF reform issues focusing on

- evaluating and proposing measures to further reduce fees and charges of MPF schemes; and
- studying measures to increase choices of MPF schemes for employees.

Chairman

Dr David Wong Yau-kar, GBS, JP

Members

Mr Chan Kam-lam, GBS, JP
Mr Bankee Kwan Pak-hoo, JP
Hon Abraham Shek Lai-him, GBS, JP
Mr Kingsley Wong Kwok, JP
Mr Simon Wong Kit-lung, JP

MPF Schemes Appeal Board

Chairman

Mr Stewart Wong Kai-ming, SC

Deputy Chairman

Ms Giovanna Kwong Fung-ping

Panel Members

Mr Kenny Chan Ngai-sang (*until 24.10.2017*)
Ms Agnes Chan Sui-kuen (*until 24.10.2017*)
Mr Ng Chau-pei
Ms Ng Wai-yee, MH, JP
Mr Jeff Wong Kwan-kit
Ms Lau Yuk-kuen
Mr Gerry Ng Joo-yeow
Ms Belinda Luk Kwai-sim
Ms Cecilia Lee Sau-wai, JP
Ms Miranda So Man-wah
Mr Dennis Ho Chiu-ping (*from 25.10.2017*)
Mr Nelson Lam Chi-yuen (*from 25.10.2017*)

Appendix 1

**Membership lists of committees, appeal boards
and process review panel** (31.3.2018)**Occupational Retirement
Schemes Appeal Board****Chairman**

Ms Cynthia Chung Wing-suet

Deputy Chairman

Ms Giovanna Kwong Fung-ping

Panel Members

Ms Ivy Cheung Wing-han

Ms Miranda So Man-wah

Mr Kenny Chan Ngai-sang

**Process Review Panel in relation
to the Regulation of MPF
Intermediaries****Chairman**

Dr Eddy Fong Ching, GBS, JP

Members

Miss Grace Chan Man-yee (*from 1.11.2017*)

Mr Chan Yim-kwong

Ms Agnes Choi Heung-kwan, MH

Mr Eugene Fung Ting-sek, SC

Mr Hui Ching-yu

Mr James Lin (*from 1.11.2017*)

Mr Alan Wong Kwok-lun (*until 31.10.2017*)

Ms Nicole Yuen Shuk-kam

Ex-officio Members

Chairman of MPFA

Secretary for Justice (or his representative)

Appendix 2

List of 17 MPF Trustees (31.3.2018)

AIA Company (Trustee) Limited
AXA Financial Services Trustees Limited
Bank Consortium Trust Company Limited
Bank of Communications Trustee Limited
Bank of East Asia (Trustees) Limited
BOCI-Prudential Trustee Limited
China Life Trustees Limited
Cititrust Limited
HSBC Institutional Trust Services (Asia) Limited
HSBC Provident Fund Trustee (Hong Kong) Limited
Manulife Provident Funds Trust Company Limited
MassMutual Trustees Limited
Principal Trust Company (Asia) Limited
RBC Investor Services Trust Hong Kong Limited
Royal Bank of Canada Trust Company (Asia) Limited
Sun Life Pension Trust Limited ¹
Sun Life Trustee Company Limited

¹ From 3 October 2017, FWD Pension Trust Limited is renamed Sun Life Pension Trust Limited.

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
AIA Company (Trustee) Limited	AIA MPF – Prime Value Choice	<ol style="list-style-type: none"> 1. Age 65 Plus Fund 2. Allianz Capital Stable Fund 3. Allianz Growth Fund 4. Allianz Stable Growth Fund 5. American Fund 6. Asian Bond Fund 7. Asian Equity Fund 8. Balanced Portfolio 9. Capital Stable Portfolio 10. China HK Dynamic Asset Allocation Fund 11. Core Accumulation Fund 12. Eurasia Fund 13. European Equity Fund 14. Fidelity Capital Stable Fund 15. Fidelity Growth Fund 16. Fidelity Stable Growth Fund 17. Global Bond Fund 18. Greater China Equity Fund 19. Green Fund 20. Growth Portfolio 21. Guaranteed Portfolio 22. Hong Kong and China Fund 23. Hong Kong Equity Fund 24. Japan Equity Fund 25. Manager's Choice Fund 26. MPF Conservative Fund 27. North American Equity Fund 28. World Fund
Bank Consortium Trust Company Limited	Allianz Global Investors MPF Plan	<ol style="list-style-type: none"> 1. Allianz Absolute Return Fund 2. Allianz Asian Fund 3. Allianz Balanced Fund 4. Allianz Capital Stable Fund 5. Allianz Greater China Fund 6. Allianz Growth Fund 7. Allianz Hong Kong Fund 8. Allianz MPF Age 65 Plus Fund 9. Allianz MPF Conservative Fund 10. Allianz MPF Core Accumulation Fund 11. Allianz Oriental Pacific Fund 12. Allianz RMB Money Market Fund 13. Allianz Stable Growth Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
Bank Consortium Trust Company Limited	AMTD MPF Scheme	<ol style="list-style-type: none"> 1. AMTD Allianz Choice Balanced Fund 2. AMTD Allianz Choice Capital Stable Fund 3. AMTD Allianz Choice Dynamic Allocation Fund 4. AMTD Allianz Choice Growth Fund 5. AMTD Allianz Choice Stable Growth Fund 6. AMTD Invesco Age 65 Plus Fund 7. AMTD Invesco Asia Fund 8. AMTD Invesco Core Accumulation Fund 9. AMTD Invesco Europe Fund 10. AMTD Invesco Global Bond Fund 11. AMTD Invesco Hong Kong and China Fund 12. AMTD Invesco MPF Conservative Fund 13. AMTD Invesco Target 2018 Retirement Fund 14. AMTD Invesco Target 2028 Retirement Fund 15. AMTD Invesco Target 2038 Retirement Fund 16. AMTD Invesco Target 2048 Retirement Fund
Bank Consortium Trust Company Limited	BCT (MPF) Industry Choice	<ol style="list-style-type: none"> 1. BCT (Industry) Absolute Return Fund 2. BCT (Industry) Age 65 Plus Fund 3. BCT (Industry) Asian Equity Fund 4. BCT (Industry) Core Accumulation Fund 5. BCT (Industry) E30 Mixed Asset Fund 6. BCT (Industry) E50 Mixed Asset Fund 7. BCT (Industry) E70 Mixed Asset Fund 8. BCT (Industry) Global Bond Fund 9. BCT (Industry) Global Equity Fund 10. BCT (Industry) Hong Kong Equity Fund 11. BCT (Industry) MPF Conservative Fund 12. BCT (Industry) RMB Bond Fund
Bank Consortium Trust Company Limited	BCT (MPF) Pro Choice	<ol style="list-style-type: none"> 1. BCT (Pro) Absolute Return Fund 2. BCT (Pro) Age 65 Plus Fund 3. BCT (Pro) Asian Equity Fund 4. BCT (Pro) China and Hong Kong Equity Fund 5. BCT (Pro) Core Accumulation Fund 6. BCT (Pro) E30 Mixed Asset Fund 7. BCT (Pro) E50 Mixed Asset Fund 8. BCT (Pro) E70 Mixed Asset Fund 9. BCT (Pro) E90 Mixed Asset Fund 10. BCT (Pro) European Equity Fund 11. BCT (Pro) Global Bond Fund 12. BCT (Pro) Global Equity Fund 13. BCT (Pro) Greater China Equity Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
		14. BCT (Pro) Hang Seng Index Tracking Fund 15. BCT (Pro) Hong Kong Dollar Bond Fund 16. BCT (Pro) Hong Kong Equity Fund 17. BCT (Pro) International Equity Fund 18. BCT (Pro) MPF Conservative Fund 19. BCT (Pro) RMB Bond Fund 20. BCT (Pro) SaveEasy 2020 Fund 21. BCT (Pro) SaveEasy 2025 Fund 22. BCT (Pro) SaveEasy 2030 Fund 23. BCT (Pro) SaveEasy 2035 Fund 24. BCT (Pro) SaveEasy 2040 Fund 25. BCT (Pro) World Equity Fund
Bank Consortium Trust Company Limited	Invesco Strategic MPF Scheme	1. Age 65 Plus Fund 2. Asian Equity Fund 3. Balanced Fund 4. Capital Stable Fund 5. Core Accumulation Fund 6. Global Bond Fund 7. Growth Fund 8. Guaranteed Fund 9. Hong Kong and China Equity Fund 10. Invesco Hang Seng Index Tracking Fund 11. MPF Conservative Fund 12. RMB Bond Fund
Bank of Communications Trustee Limited	BCOM Joyful Retirement MPF Scheme	1. BCOM Age 65 Plus Fund 2. BCOM Asian Dynamic Equity (CF) Fund 3. BCOM Balanced (CF) Fund 4. BCOM China Dynamic Equity (CF) Fund 5. BCOM Core Accumulation Fund 6. BCOM Dynamic Growth (CF) Fund 7. BCOM Global Bond (CF) Fund 8. BCOM Greater China Equity (CF) Fund 9. BCOM Guaranteed (CF) Fund 10. BCOM Hong Kong Dynamic Equity (CF) Fund 11. BCOM HSI Tracking (CF) Fund 12. BCOM MPF Conservative Fund 13. BCOM Stable Growth (CF) Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
Bank of East Asia (Trustees) Limited	BEA (MPF) Industry Scheme	<ol style="list-style-type: none"> 1. BEA (Industry Scheme) Age 65 Plus Fund 2. BEA (Industry Scheme) Asian Equity Fund 3. BEA (Industry Scheme) Balanced Fund 4. BEA (Industry Scheme) Core Accumulation Fund 5. BEA (Industry Scheme) Greater China Equity Fund 6. BEA (Industry Scheme) Growth Fund 7. BEA (Industry Scheme) Hong Kong Equity Fund 8. BEA (Industry Scheme) MPF Conservative Fund 9. BEA (Industry Scheme) RMB & HKD Money Market Fund 10. BEA (Industry Scheme) Stable Fund 11. BEA China Tracker Fund 12. BEA Hong Kong Tracker Fund
Bank of East Asia (Trustees) Limited	BEA (MPF) Master Trust Scheme	<ol style="list-style-type: none"> 1. BEA (MPF) Age 65 Plus Fund 2. BEA (MPF) Asian Equity Fund 3. BEA (MPF) Balanced Fund 4. BEA (MPF) Conservative Fund 5. BEA (MPF) Core Accumulation Fund 6. BEA (MPF) European Equity Fund 7. BEA (MPF) Global Bond Fund 8. BEA (MPF) Global Equity Fund 9. BEA (MPF) Greater China Equity Fund 10. BEA (MPF) Growth Fund 11. BEA (MPF) Hong Kong Equity Fund 12. BEA (MPF) Japan Equity Fund 13. BEA (MPF) Long Term Guaranteed Fund 14. BEA (MPF) North American Equity Fund 15. BEA (MPF) RMB & HKD Money Market Fund 16. BEA (MPF) Stable Fund 17. BEA China Tracker Fund 18. BEA Hong Kong Tracker Fund
Bank of East Asia (Trustees) Limited	BEA (MPF) Value Scheme	<ol style="list-style-type: none"> 1. BEA Age 65 Plus Fund 2. BEA Asian Equity Fund 3. BEA Balanced Fund 4. BEA Core Accumulation Fund 5. BEA Global Bond Fund 6. BEA Global Equity Fund 7. BEA Greater China Equity Fund 8. BEA Greater China Tracker Fund 9. BEA Growth Fund 10. BEA Hong Kong Tracker Fund 11. BEA MPF Conservative Fund 12. BEA Stable Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
BOCI-Prudential Trustee Limited	BOC-Prudential Easy-Choice Mandatory Provident Fund Scheme	<ol style="list-style-type: none"> 1. BOC-Prudential Age 65 Plus Fund 2. BOC-Prudential Asia Equity Fund 3. BOC-Prudential Balanced Fund 4. BOC-Prudential Bond Fund 5. BOC-Prudential China Equity Fund 6. BOC-Prudential Core Accumulation Fund 7. BOC-Prudential CSI HK 100 Tracker Fund 8. BOC-Prudential European Index Tracking Fund 9. BOC-Prudential Global Equity Fund 10. BOC-Prudential Growth Fund 11. BOC-Prudential Hong Kong Equity Fund 12. BOC-Prudential Japan Equity Fund 13. BOC-Prudential MPF Conservative Fund 14. BOC-Prudential MPF RMB & HKD Money Market Fund 15. BOC-Prudential North America Index Tracking Fund 16. BOC-Prudential Stable Fund
BOCI-Prudential Trustee Limited	My Choice Mandatory Provident Fund Scheme	<ol style="list-style-type: none"> 1. My Choice Age 65 Plus Fund 2. My Choice Asia Equity Fund 3. My Choice Balanced Fund 4. My Choice China Equity Fund 5. My Choice Core Accumulation Fund 6. My Choice Global Bond Fund 7. My Choice Global Equity Fund 8. My Choice Growth Fund 9. My Choice HKD Bond Fund 10. My Choice Hong Kong Equity Fund 11. My Choice Hong Kong Tracking Fund 12. My Choice MPF Conservative Fund 13. My Choice RMB & HKD Money Market Fund 14. My Choice Stable Fund
China Life Trustees Limited	China Life MPF Master Trust Scheme	<ol style="list-style-type: none"> 1. China Life Age 65 Plus Fund 2. China Life Balanced Fund 3. China Life Core Accumulation Fund 4. China Life Growth Fund 5. China Life Guaranteed Return Fund 6. China Life Hong Kong Equity Fund 7. China Life MPF Conservative Fund 8. China Life Retire-Easy Balanced Fund 9. China Life Retire-Easy Capital Stable Fund 10. China Life Retire-Easy Global Equity Fund 11. China Life Retire-Easy Guarantee Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
HSBC Institutional Trust Services (Asia) Limited	Fidelity Retirement Master Trust	<ol style="list-style-type: none"> 1. Age 65 Plus Fund 2. Asia Pacific Equity Fund 3. Balanced Fund 4. Capital Stable Fund 5. Core Accumulation Fund 6. Fidelity Hong Kong Tracker Fund 7. Fidelity SaveEasy 2020 Fund 8. Fidelity SaveEasy 2025 Fund 9. Fidelity SaveEasy 2030 Fund 10. Fidelity SaveEasy 2035 Fund 11. Fidelity SaveEasy 2040 Fund 12. Fidelity SaveEasy 2045 Fund 13. Fidelity SaveEasy 2050 Fund 14. Global Equity Fund 15. Growth Fund 16. Hong Kong Bond Fund 17. Hong Kong Equity Fund 18. MPF Conservative Fund 19. RMB Bond Fund 20. Stable Growth Fund 21. World Bond Fund
HSBC Institutional Trust Services (Asia) Limited	Haitong MPF Retirement Fund	<ol style="list-style-type: none"> 1. Haitong Age 65 Plus Fund 2. Haitong Asia Pacific (excluding HK) Fund 3. Haitong Core Accumulation Fund 4. Haitong Global Diversification Fund 5. Haitong Hong Kong SAR Fund 6. Haitong Korea Fund 7. Haitong MPF Conservative Fund
HSBC Provident Fund Trustee (Hong Kong) Limited	Hang Seng Mandatory Provident Fund – SuperTrust Plus	<ol style="list-style-type: none"> 1. Age 65 Plus Fund 2. Asia Pacific Equity Fund 3. Balanced Fund 4. Chinese Equity Fund 5. Core Accumulation Fund 6. European Equity Fund 7. Global Bond Fund 8. Growth Fund 9. Guaranteed Fund 10. Hang Seng Index Tracking Fund 11. Hong Kong and Chinese Equity Fund 12. MPF Conservative Fund 13. North American Equity Fund 14. Stable Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
HSBC Provident Fund Trustee (Hong Kong) Limited	Hang Seng Mandatory Provident Fund – ValueChoice	<ol style="list-style-type: none"> 1. Age 65 Plus Fund 2. Core Accumulation Fund 3. Global Bond Fund 4. Global Equity Fund 5. Hang Seng China Enterprises Index Tracking Fund 6. Hang Seng Index Tracking Fund 7. MPF Conservative Fund 8. ValueChoice Asia Pacific Equity Fund 9. ValueChoice Balanced Fund 10. ValueChoice European Equity Fund 11. ValueChoice US Equity Fund
HSBC Provident Fund Trustee (Hong Kong) Limited	HSBC Mandatory Provident Fund – SuperTrust Plus	<ol style="list-style-type: none"> 1. Age 65 Plus Fund 2. Asia Pacific Equity Fund 3. Balanced Fund 4. Chinese Equity Fund 5. Core Accumulation Fund 6. European Equity Fund 7. Global Bond Fund 8. Growth Fund 9. Guaranteed Fund 10. Hang Seng Index Tracking Fund 11. Hong Kong and Chinese Equity Fund 12. MPF Conservative Fund 13. North American Equity Fund 14. Stable Fund
HSBC Provident Fund Trustee (Hong Kong) Limited	HSBC Mandatory Provident Fund – ValueChoice	<ol style="list-style-type: none"> 1. Age 65 Plus Fund 2. Core Accumulation Fund 3. Global Bond Fund 4. Global Equity Fund 5. Hang Seng China Enterprises Index Tracking Fund 6. Hang Seng Index Tracking Fund 7. MPF Conservative Fund 8. ValueChoice Asia Pacific Equity Fund 9. ValueChoice Balanced Fund 10. ValueChoice European Equity Fund 11. ValueChoice US Equity Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
HSBC Provident Fund Trustee (Hong Kong) Limited	Sun Life MPF Master Trust	<ol style="list-style-type: none"> 1. Schroder MPF Age 65 Plus Fund 2. Schroder MPF Asian Portfolio 3. Schroder MPF Balanced Investment Portfolio 4. Schroder MPF Capital Guaranteed Portfolio 5. Schroder MPF Capital Stable Portfolio 6. Schroder MPF Conservative Portfolio 7. Schroder MPF Core Accumulation Fund 8. Schroder MPF Global Fixed Income Portfolio 9. Schroder MPF Growth Portfolio 10. Schroder MPF Hong Kong Portfolio 11. Schroder MPF International Portfolio 12. Schroder MPF RMB and HKD Fixed Income Portfolio 13. Schroder MPF Stable Growth Portfolio
Manulife Provident Funds Trust Company Limited	Manulife Global Select (MPF) Scheme	<ol style="list-style-type: none"> 1. Manulife MPF 2020 Retirement Fund 2. Manulife MPF 2025 Retirement Fund 3. Manulife MPF 2030 Retirement Fund 4. Manulife MPF 2035 Retirement Fund 5. Manulife MPF 2040 Retirement Fund 6. Manulife MPF 2045 Retirement Fund 7. Manulife MPF Age 65 Plus Fund 8. Manulife MPF Aggressive Fund 9. Manulife MPF China Value Fund 10. Manulife MPF Conservative Fund 11. Manulife MPF Core Accumulation Fund 12. Manulife MPF European Equity Fund 13. Manulife MPF Fidelity Growth Fund 14. Manulife MPF Fidelity Stable Growth Fund 15. Manulife MPF Growth Fund 16. Manulife MPF Hang Seng Index Tracking Fund 17. Manulife MPF Healthcare Fund 18. Manulife MPF Hong Kong Bond Fund 19. Manulife MPF Hong Kong Equity Fund 20. Manulife MPF Interest Fund 21. Manulife MPF International Bond Fund 22. Manulife MPF International Equity Fund 23. Manulife MPF Japan Equity Fund 24. Manulife MPF North American Equity Fund 25. Manulife MPF Pacific Asia Bond Fund 26. Manulife MPF Pacific Asia Equity Fund 27. Manulife MPF RMB Bond Fund 28. Manulife MPF Smart Retirement Fund 29. Manulife MPF Stable Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
MassMutual Trustees Limited	MASS Mandatory Provident Fund Scheme	<ol style="list-style-type: none"> 1. Age 65 Plus Fund 2. Asian Balanced Fund 3. Asian Pacific Equity Fund 4. Core Accumulation Fund 5. European Equity Fund 6. Global Bond Fund 7. Global Equity Fund 8. Global Growth Fund 9. Global Stable Fund 10. Greater China Equity Fund 11. Guaranteed Fund 12. Hong Kong Equities Fund 13. MPF Conservative Fund 14. US Equity Fund
Principal Trust Company (Asia) Limited	Principal MPF – Simple Plan	<ol style="list-style-type: none"> 1. Principal – MPF Conservative Fund 2. Principal Age 65 Plus Fund 3. Principal Balanced Fund 4. Principal Core Accumulation Fund 5. Principal Dynamic Asia Pacific Equity Fund 6. Principal Dynamic Global Bond Fund 7. Principal Dynamic Global Equity Fund 8. Principal Dynamic Hong Kong Equity Fund 9. Principal Growth Fund 10. Principal Stable Fund
Principal Trust Company (Asia) Limited	Principal MPF – Smart Plan	<ol style="list-style-type: none"> 1. Principal – Hang Seng Index Tracking Fund 2. Principal – MPF Conservative Fund 3. Principal Age 65 Plus Fund 4. Principal Balanced Fund 5. Principal Cash Fund 6. Principal Core Accumulation Fund 7. Principal Dynamic Asia Pacific Equity Fund 8. Principal Dynamic Asian Bond Fund 9. Principal Dynamic Global Bond Fund 10. Principal Dynamic Global Equity Fund 11. Principal Dynamic Greater China Equity Fund 12. Principal Dynamic Hong Kong Equity Fund 13. Principal Growth Fund 14. Principal Guaranteed Fund 15. Principal Stable Fund

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
Principal Trust Company (Asia) Limited	Principal MPF Scheme Series 600	<ol style="list-style-type: none"> 1. Principal Age 65 Plus Fund 2. Principal Aggressive Strategy Fund 3. Principal Asian Bond Fund 4. Principal Core Accumulation Fund 5. Principal Global Growth Fund 6. Principal Hang Seng Index Tracking Fund 7. Principal HK Dollar Savings Fund 8. Principal Long Term Accumulation Fund 9. Principal Long Term Guaranteed Fund 10. Principal MPF Conservative Fund 11. Principal Stable Yield Fund
Principal Trust Company (Asia) Limited	Principal MPF Scheme Series 800	<ol style="list-style-type: none"> 1. Principal Age 65 Plus Fund 2. Principal Asian Equity Fund 3. Principal Capital Guaranteed Fund 4. Principal China Equity Fund 5. Principal Core Accumulation Fund 6. Principal Global Growth Fund 7. Principal Hang Seng Index Tracking Fund 8. Principal HK Dollar Savings Fund 9. Principal Hong Kong Bond Fund 10. Principal Hong Kong Equity Fund 11. Principal International Bond Fund 12. Principal International Equity Fund 13. Principal Long Term Accumulation Fund 14. Principal Long Term Guaranteed Fund 15. Principal MPF Conservative Fund 16. Principal Stable Yield Fund 17. Principal US Equity Fund
RBC Investor Services Trust Hong Kong Limited	Manulife MPF Plan – Advanced	<ol style="list-style-type: none"> 1. Allianz Choice Balanced Fund 2. Allianz Choice Capital Stable Fund 3. Allianz Choice Growth Fund 4. Fidelity Global Investment Fund – Balanced Fund 5. Fidelity Global Investment Fund – Capital Stable Fund 6. Fidelity Global Investment Fund – Growth Fund 7. HSBC MPF “A” – Balanced Fund 8. HSBC MPF “A” – Hong Kong and Chinese Equity Fund 9. HSBC MPF “A” – Stable Fund 10. Invesco Global Balanced Fund 11. Invesco Global Equities Fund 12. Invesco MPF Bond Fund 13. Manulife Balanced Fund – Advanced 14. Manulife Bond Fund – Advanced

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
		15. Manulife Career Average Guaranteed Fund – Advanced 16. Manulife Growth Fund – Advanced 17. Manulife MPF Age 65 Plus Fund – Advanced 18. Manulife MPF Conservative Fund – Advanced 19. Manulife MPF Core Accumulation Fund – Advanced 20. Manulife Stable Fund – Advanced 21. Principal Hong Kong Equity Fund 22. Schroder MPF Asian Fund 23. Schroder MPF Balanced Investment Fund 24. Schroder RMB and HKD Fixed Income Fund 25. Templeton MPF Asian Balanced Fund 26. Templeton MPF Global Bond Fund 27. Templeton MPF Global Equity Fund
RBC Investor Services Trust Hong Kong Limited	Manulife MPF Plan – Basic	1. Manulife Balanced Fund – Basic 2. Manulife Bond Fund – Basic 3. Manulife Career Average Guaranteed Fund – Basic 4. Manulife Growth Fund – Basic 5. Manulife MPF Age 65 Plus Fund – Basic 6. Manulife MPF Conservative Fund – Basic 7. Manulife MPF Core Accumulation Fund – Basic 8. Manulife Stable Fund – Basic
RBC Investor Services Trust Hong Kong Limited	SHKP MPF Employer Sponsored Scheme	1. Allianz Choice Balanced Fund 2. Allianz Choice Stable Growth Fund 3. Fidelity Balanced Fund 4. Fidelity Stable Growth Fund 5. Invesco Global Stable Fund 6. Manulife Career Average Guaranteed Fund – SHKP 7. Manulife MPF Conservative Fund – SHKP 8. Schroder MPF Age 65 Plus Fund 9. Schroder MPF Core Accumulation Fund 10. SHKP MPF Fund
Sun Life Pension Trust Limited	Sun Life MPF Basic Scheme	1. Sun Life MPF Basic Scheme Age 65 Plus Portfolio 2. Sun Life MPF Basic Scheme Balanced Growth Portfolio 3. Sun Life MPF Basic Scheme Capital Guaranteed Portfolio 4. Sun Life MPF Basic Scheme Core Accumulation Portfolio

Appendix 3

List of 32 Registered MPF Schemes and 469 Constituent Funds (31.3.2018)

Trustee	MPF Scheme	Underlying Constituent Funds
		5. Sun Life MPF Basic Scheme Hong Kong Equity Portfolio 6. Sun Life MPF Basic Scheme International Equity Portfolio 7. Sun Life MPF Basic Scheme MPF Conservative Portfolio 8. Sun Life MPF Basic Scheme Stable Growth Portfolio 9. Sun Life MPF Basic Scheme US & Hong Kong Equity Portfolio
Sun Life Pension Trust Limited	Sun Life MPF Comprehensive Scheme	1. Sun Life MPF Comprehensive Scheme Age 65 Plus Portfolio 2. Sun Life MPF Comprehensive Scheme Asian Equity Portfolio 3. Sun Life MPF Comprehensive Scheme Balanced Growth Portfolio 4. Sun Life MPF Comprehensive Scheme Capital Guaranteed Portfolio 5. Sun Life MPF Comprehensive Scheme Core Accumulation Portfolio 6. Sun Life MPF Comprehensive Scheme Growth Portfolio 7. Sun Life MPF Comprehensive Scheme Hong Kong Equity Portfolio 8. Sun Life MPF Comprehensive Scheme International Equity Portfolio 9. Sun Life MPF Comprehensive Scheme MPF Conservative Portfolio 10. Sun Life MPF Comprehensive Scheme Stable Growth Portfolio 11. Sun Life MPF Comprehensive Scheme Stable Portfolio 12. Sun Life MPF Comprehensive Scheme US & Hong Kong Equity Portfolio
Sun Life Trustee Company Limited	Sun Life Rainbow MPF Scheme	1. Sun Life FTSE MPF Hong Kong Index Fund 2. Sun Life MPF Age 65 Plus Fund 3. Sun Life MPF Asian Equity Fund 4. Sun Life MPF Balanced Fund 5. Sun Life MPF Conservative Fund 6. Sun Life MPF Core Accumulation Fund 7. Sun Life MPF Global Bond Fund 8. Sun Life MPF Greater China Equity Fund 9. Sun Life MPF Growth Fund 10. Sun Life MPF Hong Kong Dollar Bond Fund 11. Sun Life MPF Hong Kong Equity Fund 12. Sun Life MPF Multi-Sector Equity Fund 13. Sun Life MPF RMB and HKD Fund 14. Sun Life MPF Stable Fund

Appendix 4

List of Corporate Administrators who Administer Pooling Agreements for ORSO Schemes (31.3.2018)

Authorized Insurers

AIA Company Limited
 AIA International Limited
 China Life Insurance (Overseas) Company Limited
 FWD Life Insurance Company (Bermuda) Limited
 Hang Seng Insurance Company Limited
 HSBC Life (International) Limited
 Manufacturers Life Insurance Company – The
 Manulife (International) Limited
 Sun Life Hong Kong Limited

Corporate Trust Companies

AIA Company (Trustee) Limited
 AIA Pension and Trustee Co. Ltd.
 Bank Consortium Trust Company Limited
 Bank of Communications Trustee Limited
 BOC Group Trustee Company Limited
 China Life Trustees Limited
 HSBC Institutional Trust Services (Asia) Limited
 Manulife Provident Funds Trust Company Limited
 Principal Trust Company (Asia) Limited
 Principal Trust Company (Bermuda) Limited
 Principal Trust Company (Hong Kong) Limited
 RBC Investor Services Trust Hong Kong Limited
 Shanghai Commercial Bank Trustee Limited
 Sun Life Pension Trust Limited ¹
 Sun Life Trustee Company Limited
 Zetland Trust Limited

¹ From 3 October 2017, FWD Pension Trust Limited is renamed Sun Life Pension Trust Limited.

Appendix 5

Abbreviations

Abbreviations	stand for
APIF	approved pooled investment fund
CEO	chief executive officer
CPD	continuing professional development
CPI	Consumer Price Index
CRE	Central Register of Establishments
CSR	corporate social responsibility
DIS	default investment strategy
ED	executive director
ePA	e-Enquiry of Personal Account
FER	fund expense ratio
HKICPA	Hong Kong Institute of Certified Public Accountants
HKMA	Hong Kong Monetary Authority
HKSAR	Hong Kong Special Administrative Region
IA	Insurance Authority
IAQ	Indoor Air Quality
IS	Industry Scheme
IOPS	International Organisation of Pension Supervisors
IRR	internal rate of return
ITCIS	index-tracking collective investment scheme
KOL	key opinion leader
MPF	mandatory provident fund
MPFA	Mandatory Provident Fund Schemes Authority
MPFSO	Mandatory Provident Fund Schemes Ordinance
NED	non-executive director
OECD	Organisation for Economic Co-operation and Development
ORSO	Occupational Retirement Schemes Ordinance
PRP	Process Review Panel in relation to the Regulation of Mandatory Provident Fund Intermediaries
RI	relevant income
SEP	self-employed person
SFC	Securities and Futures Commission
TOLG	Trustees Operations Liaison Group