

電話號碼 Tel No : 2918 0102

傳真號碼 Fax No : 電郵地址 Email : 本局檔號 Our Ref : 來函檔號 Your Ref :

By Email

31 August 2020

Circular Letter: SU/CCI/2020/003

To: All Principal Intermediaries

Dear Responsible Officers,

Revised Guidelines on MPF Intermediary Registration and Notification of Changes (Guidelines VI.1)

The Mandatory Provident Fund Schemes Authority (MPFA) has approved amendments to Guidelines VI.1 in relation to the new specified form and the designation of "eService". The revised Guidelines VI.1 become effective on 31 August 2020.

The major amendments to the Guidelines VI.1 are as follows:

- i. Introduce a new specified electronic form eINT-5B (Notification of Principal Intermediary's Withdrawal of Consent to an Subsidiary Intermediary); and
- ii. Designate the enhanced "eService" system through which new electronic forms can be submitted to the MPFA for fulfilling the notification requirements.

The revised Guidelines VI.1 and new electronic forms can be accessed at the MPFA's website www.mpfa.org.hk. The Guidelines VI.1 is published under "Legislation & Regulations" > "Legislation & Regulations" > "Guidelines". The new electronic forms can be accessed through the "eService" system.

The new electronic forms on the enhanced "eService" system offer an additional channel for Register Intermediaries (RIs) to submit notifications, RIs are encouraged to use the electronic forms for achieving operational efficiency and saving paper.

Please also disseminate the above information to your corporation's Subsidiary Intermediaries.

Thank you for your attention.

Yours sincerely,

Susanna Lee

Senior Manager

ORSO Schemes Department

Product Regulation Division

c.c. Ms Candy Tam, Senior Manager, Banking Conduct Department, Hong Kong Monetary Authority

Ms Emma Tong, Senior Manager, Licensing, Intermediaries, Securities and Futures Commission

Ms Shirley To, Senior Manager, Market Conduct Division, Insurance Authority