

The applicant should note that a person who in any document given to the Authority makes a statement that the person knows to be false or misleading in a material respect, or recklessly makes a statement which is false or misleading in a material respect, commits an offence under Section 43E of the Mandatory Provident Fund Schemes Ordinance (“MPFSO”) and is liable to prosecution.



强制性公积金计划管理局
MANDATORY PROVIDENT FUND
SCHEMES AUTHORITY

Standard Information / Document Request List

Application for Withdrawal of Approval of Index Tracking Collective Investment Scheme (“ITCIS”)

The Standard Information / Document Request List (“Standard Information List”) forms part of the information in support of an application for withdrawal of approval of ITCIS pursuant to Section 6A(b) of Schedule 1 of the Mandatory Provident Fund Schemes (General) Regulation (Cap. 485A).

The Standard Information List is divided into two parts:

- Part I : Information to be provided to the Authority in relation to an application for withdrawal of approval of ITCIS (“**Application**”)
- Part II : Confirmation

Important Notes:

- 1. Applicants should note that the following list of documents / information is by no means exhaustive, the Authority may request further documents / information in relation to the relevant application where necessary.**
- 2. This is a standard form document. Unless otherwise specified, when completing this Standard Information List, please do not make any deletion, addition or amendment to the document or headings.**
- 3. If there are any changes to the information provided in this Standard Information List, please notify the Authority as soon as practicable. The Authority reserves the right to request the applicant to submit updated and duly signed and completed documentation prior to approval of the application.**



强制性公积金计划管理局
MANDATORY PROVIDENT FUND
SCHEMES AUTHORITY

NOTES:

- (1) All questions must be answered.
(2) * means delete whichever is inappropriate.

Part I Information to be provided to the Authority in relation to the Application (Note: Please continue on a separate sheet if there is insufficient space and attach such continuous sheet to the Standard Information List)

No.	Information Required:	Response / Information:
1.	Name of the Applicant* (trustee / investment manager / sponsor)	
2.	Name of the ITCIS (In English): (In Chinese, if any):	
3.	Please advise the reason(s) for applying for withdrawal of approval of the ITCIS.	
4.	Please confirm that there are no MPF assets currently investing into the ITCIS. Otherwise, please provide the name(s) of the MPF fund(s) and its exposure in dollar amount (as of the latest available date).	
5.	Please advise whether there are any notifications (e.g. announcement / notice) issued to inform MPF trustees of the withdrawal of approval of the ITCIS. If yes, please provide details with supporting documents. If not, please provide justifications.	



强制性公积金计划管理局
MANDATORY PROVIDENT FUND
SCHEMES AUTHORITY

Part II Confirmation

We hereby confirm that all information contained in this Standard Information List and such other documents and information submitted to the Authority herewith are true and accurate.

Name of the applicant:

Signature and company chop
(to be signed by two directors):

Name of persons signing:

Title or position of persons signing:

Date:
