



**OCCUPATIONAL RETIREMENT SCHEMES ORDINANCE**

**For Section VI to VIII of the application form**

**Annex to the  
Application Form  
ORS-1**

**SECTION VI - THE ADMINISTRATOR**

*The administrator of the scheme is the person principally responsible for the management of the scheme and its assets otherwise than as a person solely concerned with the investment or custody of the assets.*

(1) Particulars of the administrator of the Scheme:

(a) Name (in English): \_\_\_\_\_

\_\_\_\_\_

(in Chinese): \_\_\_\_\_

\_\_\_\_\_

For official use						
Code						

(b) Please tick the appropriate box below (see note 8):  
For Individual, business address preferred.

- Registered office in Hong Kong *(please provide copy of Certificate of Incorporation and Business Registration Certificate)*
- Principal place of business in Hong Kong *(please provide copy of Certificate of Registration and Business Registration Certificate)*
- Business address *(please provide copy of Business Registration Certificate)*
- Residential address

Address (in English):

\_\_\_\_\_

Flat/Room    Floor    Block    Name of building

\_\_\_\_\_

Street no.    Name of street

\_\_\_\_\_

Name of district/city/province    Area code/Postal code

\_\_\_\_\_

Name of country

(c) Telephone no. : \_\_\_\_\_ Fax no. : \_\_\_\_\_

(d) Email address: \_\_\_\_\_



## SECTION VII - SEPARATION OF ASSETS & INVESTMENT REQUIREMENT

*This section is applicable only to offshore schemes under category O1 or O2 as specified in Annexure I of the guidance notes. (see notes 20-22)*

- (1) Whether arrangements have been provided for the assets of the Scheme to be kept separate and distinct from and do not form part of the assets of the relevant employer of the Scheme or the assets of the administrator of the Scheme which are not vested in him in his capacity as such?

Yes  No

- (2) If the answer to (1) above is “Yes”, give details below as to what and how these arrangements are provided.

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- (3) If the answer to (1) above is “No”, give details below as to why such arrangements have not been provided.

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- (4) Whether there is any loan made out of the assets of the Scheme to the employer or his associate as at the date of this application (see note 21)?

Yes  No

- (5) Whether there is any investment made on or after 15 October 1993 in contravention of the provision under section 27(2)(c) of the Ordinance (see note 21)?

Yes  No

- (6) Whether the restricted investments exceed 10% of the assets of the Scheme as at the date of this application (see note 21)?

Yes  No



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## SECTION VIII - TRUSTEESHIP REQUIREMENT

*This section is applicable only to offshore schemes under category O1 or O2 as specified in Annexure I of the guidance notes. (see notes 17-20)*

If the Scheme is governed by a trust which does not meet the trusteeship requirement, (i.e. where none of the trustees is non-employer trustee) (see note 17), state below the proper law of the trust governing the Scheme and explain the circumstances under which compliance with the trusteeship requirement of section 25(2) of the Ordinance is not possible or reasonably practicable :

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