



強制性公積金計劃管理局
MANDATORY PROVIDENT FUND
SCHEMES AUTHORITY

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24 December 2012

Circular Letter: SU/CCI/2012/010

To: All Principal Intermediaries

By Email

Dear Responsible Officer,

Implementation of the New MPF Intermediary Regulatory Regime

This circular letter is the tenth in the current series which is concerned with implementation of the Mandatory Provident Fund Schemes (Amendment) Ordinance 2012 (Ordinance).

Guidelines on Continuing Training for Subsidiary Intermediaries (Guidelines VI.4)

The new Guidelines set out the specified training to be undertaken by individuals who are subsidiary intermediaries and the time within which that training is to be completed.

Please ensure that these Guidelines are circulated to all subsidiary intermediaries attached by your company and that your company puts in place procedures and controls to ensure compliance with the legislative requirements.

Copies of the new guidelines may be downloaded from the Authority's website at <http://www.mpfa.org.hk>. Please click the "Legislation & Regulations" button followed by "MPF Guidelines" to access this document.

We have also updated the FAQs on continuing training for intermediaries' reference. Please click the "Information Centre" button followed by "FAQs for Intermediaries" to access these documents, on our website.

Should you have any questions about the contents of this letter, please do not hesitate to contact Mr Peter Lee on 2292 1267.

Thank you for your attention.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'R. Gill', with a horizontal line underneath the name.

(Robin Gill)
Head (Intermediaries)

c.c. Hong Kong Monetary Authority – Mr Kevin Sham
Securities and Futures Commission – Mr Sam Tung
Office of the Commissioner of Insurance – Ms Shirley To