



強制性公積金計劃管理局
MANDATORY PROVIDENT FUND SCHEMES AUTHORITY

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By Email

6 October 2017

Circular Letter: SU/CCI/2017/003

To: All Principal Intermediaries

Dear Responsible Officers,

Revised MPF Guidelines and Forms for Registered Intermediaries (RIs)

The Mandatory Provident Fund Schemes Authority (MPFA) has recently amended the following two sets of Guidelines:

1. Guidelines on MPF Intermediary Registration and Notification of Changes (Guidelines VI.1); and
2. Guidelines on Annual Returns to be Delivered by Registered Intermediaries (Guidelines VI.3).

With the commencement of operations of the Insurance Companies (Amendment) Ordinance 2015 (Amendment Ordinance) on 26 June 2017, the Insurance Authority (IA) took over the statutory functions of the Office of the Commissioner of Insurance to regulate insurance companies. The English name of the IA remains unchanged while its Chinese name is changed from “保險業監督” to “保險業監管局” as stated in the Amendment Ordinance. All forms (including Form INT-1 to Form INT-5 and annual returns for RIs) included in the two sets of Guidelines were amended to reflect the changes. Similar changes are also made to Form INT-6 and Form INT-7. In addition, we have made some textual amendments to various parts of the forms for housekeeping purposes.

Copies of the revised Guidelines may be downloaded from the MPFA's website at www.mpfa.org.hk. Please click the "Legislation & Regulations" button followed by "Guidelines" to access these documents. Copies of the revised forms can be downloaded from the MPFA's website at www.mpfa.org.hk. Please click "Intermediary" under "Industry Practitioner" and then "Forms" under "Useful Tools". Please ensure that you and your subsidiary intermediaries use the revised version of the forms.

Should you have any questions about the contents of this letter, please do not hesitate to contact your case officer.

Thank you for your attention.

Yours sincerely,



Susanna Lee
Senior Manager
Intermediaries Registry
Supervision Division

c.c. Mr Kevin Sham, Senior Manager, Banking Conduct Department, Hong Kong Monetary Authority
Ms Stephentica Lee, Associate Director, Licensing, Intermediaries, Securities and Futures Commission
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