



強制性公積金計劃管理局  
MANDATORY PROVIDENT FUND  
SCHEMES AUTHORITY

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26 October 2012

Circular Letter: SU/CCI/2012/006

To: All Corporate Intermediaries

**By Email and Post**

Dear Responsible Officer,

**Preparation for Implementation of the  
New MPF Intermediary Regulatory Regime**

This circular letter is the sixth in the current series which is concerned with implementation of the Mandatory Provident Fund Schemes (Amendment) Ordinance 2012 (Ordinance).

**Guidelines on MPF Intermediary Registration and Notification of Changes  
(Guidelines VI.1)**

The new Guidelines VI.1 set out the specified application forms, notification forms and the specified qualifying examinations for registration purposes.

**Guidelines on Annual Returns to be Delivered by Registered Intermediaries  
(Guidelines VI.3)**

The new Guidelines VI.3 set out the specified forms for the annual returns and the specified date on which the reporting period begins for this purpose.

Please ensure that these Guidelines are circulated to all individual intermediaries sponsored by you (to be known as subsidiary intermediaries from 1 November 2012) and that you put in place procedures and controls to ensure compliance with the Ordinance.

Copies of the new Guidelines may be downloaded from the Authority's website at <http://www.mpfa.org.hk>. Please click the "Legislation & Regulations" button followed by "MPF Guidelines" to access these documents. To assist the Industry with MPF registration matters, the Authority has prepared a Handbook on MPF Intermediary Registration. Please click the "Forms" button followed by "For Intermediaries" to access the Handbook and the relevant forms on our website.

To facilitate the Industry to have a better understanding on the prohibitions related to Regulated Activities, the Authority has prepared a set of FAQs. Please click the "Information Centre" button followed by "FAQs for Intermediaries" to access these documents, on our website.

Should you have any questions about the contents of this letter or those issued previously, please do not hesitate to contact your case officer.

Thank you for your attention.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Robin Gill', with a horizontal line underneath the name.

(Robin Gill)  
Head (Intermediaries)

c.c. Hong Kong Monetary Authority – Mr Kevin Sham  
Securities and Futures Commission – Mr Sam Tung  
Office of the Commissioner of Insurance – Ms Shirley To